

Number Tropic 04 — Relations and Functions

Lecture 01 — Relation Concepts and Definitions

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Recurrence
Relations

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Autumn Semester, 2021

#### Outline

- Defining a relation via Cartesian product
- Relation Terminology

Enumeration

Set Theory

# Outline

<ol> <li>Relation Definition</li> <li>Cartesian product and Relations</li> <li>Graphical Representation of Relations using Venn Diagrams</li> </ol>	2
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## Cartesian product

Recall that the Cartesian product of two sets, *A* and *B*, is the set of all ordered pairs of all elements where the first element is from set *A* and the second element is from *B*.

#### Definition 1 (Cartesian product)

The Cartesian product of two sets A and B, denoted by  $A \times B$  is

$$A \times B = \{(a,b) \mid a \in A, b \in B\}$$

- The order within the pair matters, so  $(a, b) \neq (b, a)$ .
- But, since  $A \times B$  is a set, the order between the pairs is not important.

$$\{(a,b),(c,d)\} = \{(c,d),(a,b)\}$$

• The set  $A \times B$  has |A||B| elements.

## Example

### Example 2

```
The Cartesian product of A = \{0, 1, 2, 3\} and B = \{0, 1, 4\} is \{(0, 0), (0, 1), (0, 4), (1, 0), (1, 1), (1, 4), (2, 1), (2, 1), (2, 4), (3, 0), (3, 1), (3, 4)\}
```

```
Or in Python*...
```

```
cartesian_product .py
```

```
A = \{0,1,2,3\}

B = \{0,1,4\}

C = \{(a,b) \text{ for a in A for b in B}\}

print (C)
```

```
1 \left\{ (0, 1), (0, 0), (3, 0), (3, 1), (1, 4), (2, 1), (2, 0), (2, 4), (0, 4), (1, 4), (1, 4), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1), (2, 1),
```

<sup>\*</sup>From now on I will break with the upper/lower case programming naming convention that you are probably using in Processing/Java and follow conventions in Mathematics.

The formal definition of a relation is based on the Cartesian product between two sets, later we will see more initiative but less general definitions.

#### Definition 3 (Relation)

Given two sets A and B. Any subset of the Cartesian product between A and B is called a relation.

### Example 4

The Cartesian product of the sets  $A = \{0, 1, 2, 3\}$  and  $B = \{0, 1, 4\}$  is

$$\{(0,0),(0,1),(0,4),(1,0),(1,1),(1,4),(2,1),(2,1),(2,4),(3,0),(3,1),(3,4)\}$$

So possible relations between *A* and *B* include

- $P = \{(0,0), (1,1), (2,4)\}$
- $R = \{(0,0), (1,1), (2,0), (3,1)\}$
- $R = \{(0,0), (1,0), (2,1), (3,1)\}$
- $R = \{(0,0), (1,4)\}$
- $R = \{\}$

4096 possible relations!

(relation is based on  $x \mapsto x^2, x < 3$ )

(relation is based on  $x \mapsto x \mod 2$ )

(relation is based on  $x \mapsto isPrime(x)$ )

(relation is ... unknown?)

(remember, empty set is a ...)

You should spend some time thinking about the consequences of the definition that we have just covered ...

- Given two sets, A and B, how many distinct relations can we construct?
  - Set A and B have |A| and |B| elements respectively.
  - The Cartesian product,  $A \times B$ , has |A||B| elements.
  - Relation between A and B is **any** subset of  $A \times B$ .
  - Sets of size n have  $2^n$  subsets.

Number of distinct relations between sets A and B is  $2^{|A||B|}$ 

- Relation vs. Cartesian product vs. power set of the Cartesian product Let R be a relation between sets A and B. Then
  - *R* is a subset of the Cartesian product of *A* and *B*.

$$R \subseteq A \times B$$

• R is an element of the power set of the Cartesian product of A and B.

$$R \in \mathcal{P}(A \times B)$$

### Example 5

Let  $A = \{2, 3, 5, 6\}$  and define a relation R from A to A by  $(a, b) \in R$  if and only if a divides evenly into b.

The relation *R* is defined by

$$R = \{(a, b) \mid a \in A, b \in A, a \text{ divided evenly into } b\}$$

The set of pairs that qualify for membership of *R* is

$$R = \{(2,2), (3,3), (5,5), (6,6), (2,6), (3,6)\}$$

### Definition 6 (Relation on a Set)

A relation from set A to A is called a relation on A.

## Notation Warning — Divisibility

When explaining relations we will often use (as in the previous example) the idea of "divides". Lets make sure we all agree on what this means ...

### Definition 7 (Divides)

Let  $a, b \in \mathbb{Z}$ . We say that a divides b, denoted  $a \mid b$ , if and only if there exists an integer k such that ak = b.

- Be careful in writing about the relation "divides." The vertical line symbol use for this relation, if written carelessly, can look like division. While  $a \mid b$  is either **True** or **False**, a/b is a number<sup>†</sup>.
- Even worse. We, mathematicians, use the same symbol "|" for "such that" in set builder notation and for "divides".
  - Usually this is not a problem as the intended meaning for "|" will be clear from the context.
  - Use alternative symbols: "|" is replaced by ":" in set builder notation.

<sup>&</sup>lt;sup>†</sup>Also the direction is different. " $a \mid b$ " means "a divides (evenly) into b", while "a/b" means "the value of a divided by b".

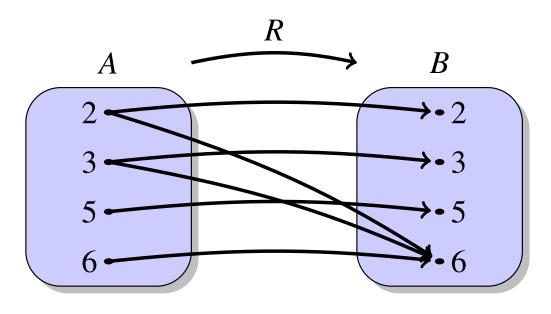
# Graphical Representation of Relations I — Venn Diagrams

Representing relations graphically can help in identifying its properties ...

Consider the relation R from A into A, where  $A = \{2, 3, 5, 6\}$  and  $(a, b) \in R$  if and only if a divides evenly into b.

$$R = \{(2,2), (3,3), (5,5), (6,6), (2,6), (3,6)\}$$

- Draw set A.
- This relation is from A to A, so we make a copy of set A and called it B.
- Indicate each of the ordered pairs in *R* using an arrow.



Things we are interested in seeing ...

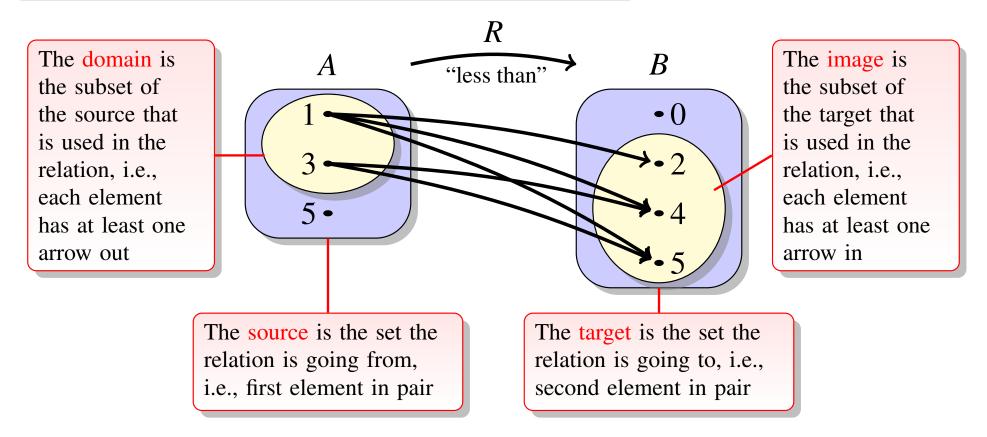
- Is there an arrow from every element in the first set?
- Is there an arrow to every element in the second set?
- Are there multiple arrows from some elements?
- Are there multiple arrows into some elements?

## Relation Terminology

Consider the relation "is less than" from set  $A = \{1, 3, 5\}$  to set  $B = \{0, 2, 4, 5\}$ . We have

$$R = \{(a,b) \mid a \in A, b \in B, a < b\} = \{(1,2), (1,4), (1,5), (3,4), (3,5)\}$$

In python  $R = \{ (a,b) \text{ for } a \text{ in } A \text{ for } b \text{ in } B \text{ if } a < b \}$ 



## Relation Terminology

Given relation R from set S to set T we have:

- The source, S, is the set that the relation is going from.
- $\bullet$  The target, T, is the set that the relation is going to.
- The domain of R, denoted by Dom(R), is the subset of the source for which there is at least one arrow leaving each element.

$$Dom(R) = \{s \mid s \in S, \exists t \in T((s,t) \in R)\} \subseteq S$$
exists at least one arrow leaving each element

• The image of R, denoted by Im(R), is the subset of the target for which there is at least one arrow entering each element.

$$\operatorname{Im}(R) = \{t \mid t \in T, \underline{\exists s \in S((s,t) \in R)}\} \subseteq T$$

exists at least one arrow entering each element

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# Relation Terminology — Into vs. Onto

III

From our definitions, we have that the image of a relation is a subset of its target, i.e.,

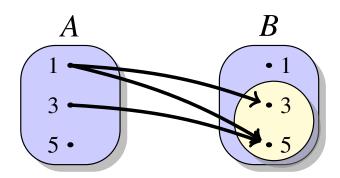
$$\operatorname{Im}(R) \subseteq T$$

or

This gives us two possibilities ...

$$\operatorname{Im}(R) \subset T$$

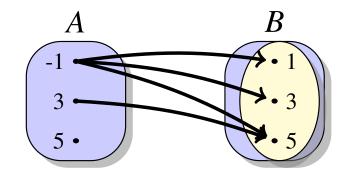
Example, consider relation "is less than" from set  $A = \{1, 3, 5\}$  to set  $B = \{1, 3, 5\}$  is



A relation, *R*, in which the image is a proper subset of the target is said to be an into relation.

$$Im(R) = T$$

Example, consider relation "is less than" from set  $A = \{-1, 3, 5\}$  to set  $B = \{1, 3, 5\}$  is



A relation, *R*, in which the image is equal to the target is said to be an onto relation.

# Relation Terminology — Injective (one-to-one)

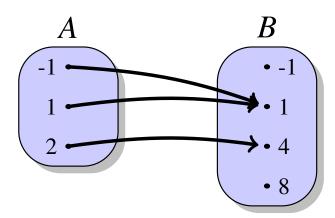
#### Ш

### Definition 8 (Injective)

A relation is said to be injective (or one-to-one) if there is at most one arrow into every element in the target set.

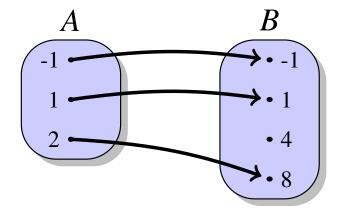
or

Consider the relation "is square root of" from set  $A = \{-1, 1, 2\}$  to set  $B = \{-1, 1, 4, 8\}$ .



Not injective, since there exists at least one element in the target, (1), which has more than one incoming arrows.

Consider the relation "is cube root of" from set  $A = \{-1, 1, 2\}$  to set  $B = \{-1, 1, 4, 8\}$ .



Is injective, since there is at most one arrow into each element in the target.

## Review Exercises 1 (Relation Definition)

#### **Question 1:**

Consider the sets  $A = \{0, 1, ..., 6\}$  and  $B = \{0, 1, ..., 12\}$ . Draw each of the following relations, and specify the domain and image of R from A to B and whether it is into or onto, and injective or not.

- $(a,b) \in R \text{ iff } a \mid b$
- $(a,b) \in R \text{ iff } a > b$
- $(a,b) \in R$  iff number of primes less than a is equal to number of primes less than b
- $(a,b) \in R$  iff number of factors of a is equal to number of factors of b.
- $(a,b) \in R$  iff number of letters in writing a in English is equal number of letters in writing b in English.

#### **Question 2:**

Let *R* be the relation from  $\mathbb{N}$  to  $\mathbb{N}$  where  $(a, b) \in R$  iff b = a + 2. Is *R* onto?

#### **Question 3:**

Let *R* be the relation from  $\mathbb{Z}$  to  $\mathbb{Z}$  where  $(a, b) \in R$  iff b = a + 2. Is *R* onto?

#### **Question 4:**

Let *R* be the relation from  $\mathbb{N}$  to  $\mathbb{N}$  where  $(a, b) \in R$  iff  $b = a^2$ . Is *R* one-to-one?

#### **Question 5:**

Let *R* be the relation from  $\mathbb{Z}$  to  $\mathbb{Z}$  where  $(a, b) \in R$  iff  $b = a^2$ . Is *R* one-to-one?